


FORM PTO-1390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER GIC-575
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/980768
INTERNATIONAL APPLICATION NO. PCT/US00/12547	INTERNATIONAL FILING DATE 08 May 2000	PRIORITY DATE CLAIMED 21 May 1999	
TITLE OF INVENTION PROGRAMMING INTERFACE FOR TELEVISION SETTOP CORE SYSTEM SOFTWARE			
APPLICANT(S) FOR DO/EO/US Jack M. BIRNBAUM, Chris DEL SORDO, Stephen ALLINSON and Kenneth P. MILLER			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Two (2) Declarations attached – one signed by inventors Birnbaum, Del Sordo and Allinson, and one signed by inventor Miller.</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> Two (2) Assignment documents for recording. Separate cover sheets in compliance with 37 CFR 3.28 and 3.31 are included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>a. Express Mail Certificate</p> <p>b. Patent Application Data Entry Form - 2 sheets</p> <p>c. Patent Application Specification, including claims and Abstract - 68 pages</p>			

- d. **One (1) sheet of formal drawing, together with separate transmittal letter**

U.S. APPLICATION NO. (if known) 09/980768 INTERNATIONAL APPLICATION NO. PCT/US00/12547		ATTORNEY'S DOCKET NUMBER GIC-575					
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =		CALCULATIONS PTO USE ONLY					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 100.00					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	89 - 20 =	69	x \$18.00				
Independent claims	2 - 3 =		x \$84.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00				
TOTAL OF ABOVE CALCULATIONS =		\$ 1342.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$ ---					
SUBTOTAL =		\$ 1342.00					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$ ---					
TOTAL NATIONAL FEE =		\$ 1342.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$ 80.00					
TOTAL FEES ENCLOSED =		\$ 1422.00					
a. <input checked="" type="checkbox"/> A check in the amount of \$ 1422.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0625 . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%; text-align: center;">Amount to be refunded:</td> <td style="width: 20%; text-align: center;">\$</td> </tr> <tr> <td style="text-align: center;">charged:</td> <td style="text-align: center;">\$</td> </tr> </table>		Amount to be refunded:	\$	charged:	\$
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charged:	\$						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: Barry R. Lipsitz Law Offices of Barry R. Lipsitz 755 Main Street, Building No. 8 Monroe, CT 06468 (203) 459-0200 Date: 15 November 2001							
SIGNATURE  Barry R. Lipsitz NAME 28,637 REGISTRATION NUMBER		Express Mail No. EL 827 617 473 US					

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
BIRNBAUM, et al.)
)
Entry of U.S. National Stage: Herewith)
)
International Application No.: PCT/US00/12547)
)
Title: PROGRAMMING INTERFACE FOR TELEVISION)
SETTOP CORE SYSTEM SOFTWARE)
)

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Commissioner for Patents
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EXPRESS MAIL CERTIFICATE

"Express Mail" Mailing Label No: **EL 827 617 473 US** Date of Deposit: **November 15, 2001.**

I hereby certify that the attached:

- ☒ Check in the amount of \$1,422.00 (national fee \$1342.00; Assignment recordal fee \$80.00)
- ☒ PTO Form 1390 - 2 sheets
- ☒ Patent Application Data Entry Form - 2 sheets
- ☒ Declaration and Power of Attorney form - signed by inventors Birnbaum, Del Sordo and Allinson
- ☒ Declaration and Power of Attorney form - signed by inventor Miller
- ☒ Assignment, together with PTO Form 1595 (signed by Birnbaum, Del Sordo and Allinson)
- ☒ Assignment, together with PTO Form 1595 (signed by Miller)
- ☒ Patent application specification, including claims and Abstract - 68 sheets
- ☒ One (1) sheet of formal drawing, together with separate transmittal letter

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: **BOX PCT, Commissioner for Patents, Washington, D.C. 20231**

Cathy Dunne

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Signature of person mailing paper or fee

Respectfully submitted,

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Date: November 15, 2001
Attorney Docket No.: GIC-575

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title: PROGRAMMING INTERFACE FOR TELEVISION
SETTOP CORE SYSTEM SOFTWARE

By: Cathy Dunne
Cathy Dunne

Date: November 15, 2001
ATTORNEY DOCKET NO.: GIC-575

1/PK15

09/980768

JC19 Rec'd PCT/PTO 15 NOV 2001

PROGRAMMING INTERFACE FOR TELEVISION SETTOP CORE SYSTEM
SOFTWARE

BACKGROUND OF THE INVENTION

5 This application claims the benefit of U.S.
Provisional Application No. 60/135,268, filed May 21,
1999.

10 The present invention provides a software
architecture that enables core software of a television
settop terminal to be compatible with different settop
middleware and operating system software. In
particular, a software interface defines the functions
and parameters passed between (i) middleware and
operating system provider software, and (ii) core settop
software provided in a television settop box or the
15 like.

20 The recent advent of digital settop terminals has
spurred the growth of subscriber television networks,
such as cable/satellite television networks. Such
terminals can support increased levels of programming
services and a variety of software-based applications
and functions, such as an electronic program guide,
stock or weather banners, shop and bank at home
services, games, and the like. Moreover, this trend is
expected to continue with the convergence of telephone,
25 television and computer networks, and the rise of in-
home computer networks.

A "user terminal" (e.g., digital settop box) for
cable or satellite television, or the like, requires OS
software, middleware and device drivers to function.

OS software provides the multithreading, real-time OS support needed to operate the settop.

Middleware is software that connects two otherwise separate applications. For example, such middleware can
5 be provided to mediate between an application program and a network, thereby managing the interaction between disparate applications across heterogeneous computing platforms. More generally, middleware serves as the glue between separate applications, and is sometimes
10 referred to as "plumbing" because it connects two sides of an application and passes data between them.

Moreover, drivers are used to control different hardware devices in a terminal, such as tuners, demodulators, MPEG-2 decoders (e.g., audio, video, and
15 data), video encoders, audio mixers, and so forth.

In a settop box, "core software" is provided that allows the settop to provide such necessary television functions as service acquisition, system information (SI) management, download capability (e.g., for new
20 application and OS software), return path communication (e.g., for polling the settop for billing purposes), settop configuration, and conditional access control (i.e., security).

In the past, each settop has only been designed to
25 use one particular operating environment. The problem of handling multiple operating environments has not previously been addressed in the settop environment. Generally, applications have been ported to various operating environments either through rewrite of the
30 settop system software to that environment or through an abstraction layer.

Accordingly, it would be advantageous to enable a choice of different operating environments on a settop

without the need to change the core settop system software. This would enable the reuse of a single settop design for multiple operating environments. It would also enable faster implementation of alternate
5 operating software environments, permitting a faster time to market new settop devices.

In particular, the software architecture should that allow a customer, such as a cable television system operator, to choose the operating environment, including
10 the OS kernel, middleware and application software, and device drivers, that will be used in the settop. The core settop system software should allow the device to operate within a common system environment. The architecture should allow for the development of the
15 core software, and then implementation of the architecture in any of a plurality of different operating environments, such as VRTX, Windows CE, AperiOS, PowerTV and other settop operating environments.

20 The architecture should enable middleware and OS software to control and interact with the core settop system software to facilitate the provision of desirable digital television services, such as service acquisition, determining the status of a service,
25 configuring the settop, indicating an unsolicited event, and managing user privacy.

The architecture should be suitable for terminals that receive programming services via any type of network, including broadband communications networks,
30 Digital Subscriber Loop (DSL) networks, and others. The present invention provides an interface to core settop system software that enjoys the aforementioned and other advantages.

It would further be desirable to have an interface to core settop software that operates in such an architecture.

- 5 In particular, the interface of the invention defines each interface point between the "Application OS/Middleware" layer of the settop software and the core settop system software. This provides a mechanism by which the Application OS/Middleware software can control and interact with the core settop system software.

SUMMARY OF THE INVENTION

A software interface is provided to enable settop
middleware and OS software to control and interact with
core settop system software. The interface enables the
5 middleware and OS software to interact with the core
system software to facilitate the provision of desirable
digital television services.

An apparatus in accordance with the invention
provides an interface to core system software in a
10 television subscriber terminal, and includes a computer
readable medium having computer program code means, and
means for executing the computer program code means to
provide at least one application program interface (API)
to enable middleware that mediates between an
15 application program and said core system software to
access a function of the terminal.

A corresponding method is also presented.

BRIEF DESCRIPTION OF THE DRAWING

The Figure is a high level overview of a television
settop box architecture incorporating a software
interface between the middleware/OS layer and the core
5 settop system software in accordance with the invention.

FIG. 1

DETAILED DESCRIPTION OF THE INVENTION

The Figure illustrates a software platform, or architecture, for implementing a settop box in accordance with the present invention. The platform can be implemented using any suitable operating system, such as the VRTX (virtual real-time executive) operating system available from Mentor Graphics Corporation of Wilsonville, Oregon, Windows CE available from Microsoft Corporation of Redmond, Washington, AperiOS available from Sony Corporation of New York, N.Y., PowerTV available from PowerTV, Inc. of Cupertino, CA, etc. Other operating systems can be used.

The architecture can be considered to include three main levels, including a lower level (functions 30, 32 and 34) related to hardware and device driver functions, a middle level (functions 14, 16, 18, 20, 22, 24 and 26) related to the core settop software, and a high level (functions 10, 12) related to middleware and applications.

Specifically, the top level of the software structure comprises one or more application programs which can comprise, for example, the Digital Television (DTV) navigator application (available from Network Controls International, Inc. of Charlotte, North Carolina), interactive games, enhanced television features, Internet web browsers, etc. These applications need to communicate with the core settop system software 20. This communication is accomplished with the middleware/application interface 12, the middleware porting layer 14, and the software interface 26 of the present invention.

The next layer in the software structure comprises

middleware/application interface APIs (application
program interfaces) 12. This layer 12 is part of the
operating environment (i.e., it is OS-specific), and
provides the interface to the application program(s) 10
5 which execute in the settop (e.g., cable settop hardware
34). The layer 12 provides facilities for applications
to use and control the settop box resources, and to
coexist with other applications. The layer 12 also
provides the environment in which the applications
10 execute. Layer 12 also provides the API to which
applications are written, providing an authoring
environment. Moreover, this layer 12 handles the issues
of shared resources, multiple applications, application
selection, application launch and application
15 termination. Preferably, it provides a consistent
interface to the end user.

A middleware porting layer 14, e.g., for cable, DSL
or other television services, is provided to translate
the television, graphics and communication interfaces
20 20, 22 and 24, respectively, to the particular
functional interface required by a middleware software
component and specified by the middleware
provider/vendor. Middleware providers often specify an
interface on which their software has been tested and
25 proven. This layer 14, which is also part of the
operating environment, allows portability of the
middleware solution to the settop in an expedient
manner. More specifically, the layer 14 allows the core
settop system software 20 to remain the same while
30 allowing different middleware layers to execute.

An operating system (OS) kernel 16 is provided at
the levels of the middleware porting layer 14 and the
core settop system software 20, the graphics, audio,

video subsystem 22 and the network communication stacks 24. This kernel 16 can comprise, for example, a MIPS (million instructions per second) port of the VRTX kernel version 4.0, available from the Microtec division of Mentor Graphics Corporation for the Motorola 68000 family of microprocessors. This kernel 16 provides the multithreading, real time OS support needed to operate the settop. The OS kernel 16, together with the middleware porting layer 14 and middleware/application interface APIs 12, and the device drivers are components of the operating environment. More particularly, the OS kernel 16 provides OS facilities such as multitasking, inter-task communication, synchronization, and memory management. These facilities are used to create tasks, set task priorities, communicate between tasks, and synchronize operation of the system tasks and application tasks.

A kernel abstraction component 18 provides generic kernel functions needed by the other components. In particular, component 18 abstracts these functions from the specifics of the OS kernel 16. The kernel abstraction component 18 includes two sections, namely, (i) the kernel calls used by the components, and (ii) the kernel-specific translations. Some of the supported kernel features are implemented in a fashion that is independent of the underlying kernel.

The kernel abstraction component 18 may separate the details of the OS kernel from the core settop system software 20, and be used to isolate the core system software from the specifics of an OS kernel implementation. In this manner, portability of the core software 20 between operating environments is provided. The OS kernel abstraction 18 provides standard types of

OS services in a generic way to the core system software 20. These services include, e.g., messaging, thread operations, memory management, synchronization, and event management.

5 The core settop system software 20 contains support for the television system features. For example, this software can be written to support the DigiCipher® II digital television system features. DigiCipher® II is a proprietary system developed by General Instrument Corporation of Horsham, Pennsylvania, U.S.A., the assignee hereof. This system includes features that are specific to the cable television environment for downstream and upstream communications. The features supported by the core settop system software 20 include, without limitation, service acquisition (e.g., acquisition of a pay-per-view movie), system information management, settop configuration and control, return path for polling, download capability including authentication and authorization, and non-volatile memory management.

20 The core settop system software 20 also includes conditional access system drivers (CABIOS), which are used to provide security and access control. This component supports the features of object authentication, object authorization, resource authorization, cryptographic tool kit, and baseline privacy key exchange. The interface layer for the cryptographic tool kit (Crypto Toolkit) is the Public Key Cryptography Standards (PKCS) 11 standard.

25 More specifically, the core settop system software 20 provides the services that allow the settop to exist in a communication (e.g., cable television) network. As noted above, the functions of this software include:

Message reception and distribution - This software component receives messages over a control channel or through an in-band channel, and distributes them to the appropriate software process for interpretation and processing.

System information processing - This component interprets the System Information provided by the protocol (e.g., Advanced Television Systems Committee (ATSC), or Digital Video Broadcast (DVB) standard) specifying the Modulation Type, Channel Map, Service Maps, Service Descriptions, Service Components. This information allows the software to find, reconstruct and deliver the service to the user or application.

Configuration and control message processing - This component interprets the configuration and control messages sent to the settop from, e.g., a cable headend controller. This information is used to provision a settop to operate within the cable television system or other applicable network. The component sets up the settop's features and provides the ability to control the settop operation remotely by the system operator.

Digital and analog service acquisition - This component performs all of the necessary functions to 'tune' a video/audio service to be presented to the television viewer.

Conditional access subsystem - this component authorizes services and applications. Video services are delivered to the settop in an encrypted form. The Conditional Access (CA) system compares authorization information about the service with authorization information that is delivered to the settop. When a service is deemed authorized, the CA system enables the

decryption of that service.

Download subsystem - this component acquires software objects from the cable or other applicable network and stores them in the settop's memory. These objects may include, e.g., software suites or applications. The download subsystem determines if it is authorized to accept the object and, if it is, then processes and stores each segment of the object. Once the object is stored, it is validated and can then be enabled to execute either from, e.g., the headend control, or by the operating system.

Return path subsystem - This component collects purchase and diagnostic information and transmits it back to the headend (or other appropriate system operator site) upon command. This information is ultimately sent to a billing system to enable billing of services used by the settop. The return path can be a store-and-forward mechanism or an on-demand interactive mechanism.

Diagnostic Data management subsystem - This component collects and manages data which can be used to diagnose the state of the settop, allowing for remote determination of the operation and health of the settop software. This data is made available to the OS for display to the user or installer. It is also made available to the return path subsystem for collection by a computer at the system operator's site (e.g., at a cable headend).

The CABIOS (Conditional Access Basic Services) provides conditional access services above the normal service access control, including:

Object Authentication - This function authenticates a

software object to determine that the object is the intended object. Such authentication is accomplished, for example, by matching a signature delivered with the object.

5 **Object Authorization** - This function authorizes objects to be loaded and executed in the settop. It uses the conditional access hardware to determine the authorization status of the settop.

Resource Authorization - This function authorizes the
10 use of settop resources through the conditional access hardware.

Baseline Privacy Key Exchange Services - These functions support the key exchange requirements of the Cable modem system, which may conform to the Data-Over-Cable Service
15 Interface Specifications (DOCSIS).

Cryptographic Functions - These functions provide a basic cryptographic toolkit for use by the application operating system and applications within the settop. As indicated above, a typical cryptographic tool set
20 follows the PKCS 11 standard, although other standards can be used.

The graphics, audio and video subsystem 22 includes, in a preferred embodiment, two-dimensional (2D), three-dimensional (3D), and video libraries
25 working on top of a graphics display driver. Such display drivers are commonly available from various vendors such as ATI Technologies Inc. of Thornhill, Ontario, Canada. Subsystem 22 provides a standard API to which middleware providers can be ported, and enables
30 video to be viewed combined with graphics. More particularly, the analog or digital video is combined with a graphics overlay to present a combined view to

the user. This subsystem 22 also provides audio, which can exist in multiple modes, such as stereo and surround sound. The audio subsystem can also support record and playback of audio files.

5 The network communications stacks 24 provide standardized networking stacks to facilitate web browsing and communications with external devices. This subsystem 24 includes many standardized network protocols which can be delivered over multiple
10 communications paths. The stacks can comprise, for example, Mentor/Microtec's CNX communications extension to the VRTX operating system, available from Mentor Graphics Corporation.

 This subsystem 24 resides on top of the
15 communications drivers (e.g., Ethernet and DOCSIS drivers) and provides, for example, a Berkeley sockets (Berkeley Software Design, Inc.) interface to protocols such as Transmission Control Protocol (TCP)/Internet Protocol (IP) (e.g., a TCP/IP interface over a DOCSIS
20 modem or over an Ethernet device), User Datagram Protocol (UDP), Address Resolution Protocol (ARP), Internet Control Message Protocol (ICMP), Dynamic Host Configuration Protocol (DHCP) Client, Domain Name System (DNS) Client, and Point-to-Point Protocol (PPP) (dial-up
25 uses CCP, Link Control Protocol (LCP) and Internet Protocol Control Protocol (IPCP) protocols).

 For the settop hardware 34 to operate the settop box for its intended functions, various drivers must be provided, as well known in the art. These are depicted
30 in the Figure as low level device drivers 32, which are interfaced to a driver model used by the core settop system software 20 via device drivers-to-driver model interface 30.

The interface 30 separates the details of the driver model environment from the core system software 20, and is used to isolate the device drivers 32 from the specifics of the driver model provided by the operating environment. The low level device driver software 32 is hardware-specific and portable across operating environments. The driver model interface 30 ties the hardware-specific device driver 32 to the OS by providing the OS's desired interface to the driver.

Device drivers are provided for each device supported on the settop. These include a graphics driver, which may be written, e.g., on an ATI Hardware Access Provider (HAP) layer available from ATI Technologies, Inc. A graphics library for use in the settop can be written on the HAP. Other typical settop device drivers include a communications driver, the tuner (e.g., a combination of the General Instrument DOCSIS tuner and Quadrature Amplitude Modulation (QAM) code), serial ports (using, e.g., an IEEE 1394 high performance serial bus), parallel ports, Ethernet ports, Universal Serial Bus (USB) ports, Light-Emitting Diodes (LEDs), Keypad and/or Keyboard drivers, a DOCSIS driver, such as the Broadcom DOCSIS driver available from Broadcom Corporation of Irvine, California, U.S.A., and a smart card driver. A smart card may be used in the settop for consumer purchasing applications such as on-line buying. Each device in the settop requires a driver function to operate.

Details of the software interface 26 (termed "GITV"), which defines the services provided to application operating systems and middleware solution providers from the core settop system software 20, are set forth below. The interface can be implemented using

any known techniques which will be apparent to those skilled in the art.

Software Interface Functions

1. Service Acquisition and Service Status APIs

1.1. Service Acquisition Overview

Service Acquisition is the process of allowing a client to obtain downstream services. The Service Acquisition software manages the Service Acquisition process. Support is currently provided for DigiCipher II (DCII) acquisition of primary and background digital services. DCII, proprietary to the assignee hereof, is analogous to MPEG-2. Support is also provided for analog service acquisitions.

Only one primary service can be acquired at a time. A digital primary service and an analog primary service cannot both be acquired at the same time.

1.2. AcquireServiceBlocking

Used to tune a specified virtual channel number (VCN) or source identifier (ID) using the specified service path (tuner). The service acquired is the primary service in the terminal, and replaces the previously tuned primary service. The call returns a status indicating any errors encountered while servicing the API.

1.3. AcquireServiceNonBlocking

Used to tune a specified virtual channel number (VCN) or source ID on the specified service path. AcquireServiceNonBlocking initiates acquiring of a service but does not wait for completion of the acquisition before returning. The status returned by

the API indicates any basic errors that would prevent the API from being serviced. If the initial call did not have an error, then upon completion of the service acquisition (either by success or failure), the user-defined callback function is called, passing the user-allocated callback data structure.

1.4. **GetServiceStatus**

Used to request status information on the currently tuned primary service on the specified service path.

The API allocates and fills the service status structure, which includes the service path, virtual channel number, channel override status, service type, service component stream status, service acquisition status, and program status. The call returns a status indicating any errors encountered while servicing the API.

1.5. **RegisterServiceStatus**

Used to register the client for unsolicited service status updates for the currently tuned primary service on the specified service path. The status returned by the API indicates any errors that would prevent the API from being serviced. If the initial call did not have an error, when the service status changes the user-defined callback function will be called, passing the user-allocated callback data structure.

1.6. **CancelServiceStatus**

Used to cancel the registration for service status updates that was set up by the RegisterServiceStatus API. The call returns a status indicating any errors encountered while servicing the API.

1.7. GetVCTData

Used to get a summary of the current Virtual Channel Table information for all defined virtual channels in the system. The API allocates and fills the VCT data structure, which includes a record for each defined virtual channel. The call returns a status indicating any errors encountered while servicing the API.

1.8. GetVCTDocsisData

Used to get a summary of the current Virtual Channel Table information and characteristics for all defined DOCSIS downstream channels. DOCSIS downstream channels are defined to be any virtual channel that has a source ID equal to 23005. The API allocates and fills the VCT DOCSIS data structure, which includes a record for each defined DOCSIS channel. The call returns a status indicating any errors encountered while servicing the API. If there are no DOCSIS channels in the Virtual Channel Table, the API will return the error status value SAQERR_VCT_NO_DOCSIS, and will not allocate any memory for the DOCSIS data structure.

1.9. SelectServiceComponent

Used to add a service component of the specified type to the primary service on the specified service path. If the component is a text or data component, the API places the connect ID for the new component in the user-allocated memory location. The call returns a status indicating any errors encountered while servicing the API.

1.10. DeselectServiceComponent

Used to deselect the specified component from the primary service on the specified service path. Service

components that are deselected are disabled. The call returns a status indicating any errors encountered while servicing the API.

1.11. SelectServiceIPData

5 Used to select a service component that carries particular multicast IP datagrams. These datagrams are carried within Digital Video Broadcast (DVB)-Multi-Protocol Encapsulation (MPE) Datagram Section messages, which contain headers with multicast MAC (Medium Access Control) addresses that are directly related to the IP datagram's multicast IP address (see Data-Over-Cable-Service Interface Specification - DOCSIS, Baseline Privacy Interface Specification [SP-BPI-101-970922])).

15 The calling program will select the desired multicast data by specifying the multicast MAC address. This call will return a Connection ID that is placed in memory starting at the location indicated by the pointer provided as part of the call. Connection ID identifies the packet identifier (PID) stream (or streams) carrying the requested multicast data as well as the MAC address of the target DVB-MPE datagram sections. Normally, Connection ID will be directly related to the specific multicast MAC address provided as part of the call. However, a promiscuous listening mode can optionally be selected. In this mode, every data PID component carrying IP data will be identified so all IP datagram fragments may be passed to the calling program. In the Promiscuous mode, the returned Connection ID will map to all component PIDs carrying IP data, and all valid

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30 multicast MAC addresses carried within the currently tuned service.

ReadServiceIPData requires the returned Connection ID to retrieve the requested IP data. The calling

program may also specify that serving process should continue to look for the requested data, even if it does not immediately identify a target PID stream.

Alternatively, the calling program may specify that only one attempt should be made to locate the PID stream carrying the requested data. The call also returns a status indicating any errors encountered while servicing the API.

1.12. ReadServiceIPData

Used to extract IP Datagram Fragments from DVB-MPE Datagram Sections being carried on one or more elementary PID stream components. The Connection ID passed to this API identifies the elementary PID stream component and multicast MAC address of the requested DVB-MPE datagram section. In some cases, the Connection ID will point to every datagram section being carried within the currently tuned service, regardless of its multicast MAC address. This occurs if a previous call to SelectServiceIPData was made with listenMode = "PROMISCUOUS", rather than listenMode = "NORMAL".

ReadServiceIPData returns a status as soon as the read is posted, indicating any errors encountered while servicing the API. The actual message is routed to the client by the message distribution software using the callback function and the callback data structure.

Calls to ReadServiceIPData will result in only IP datagram fragments being passed to the call back function. Thus, the DVB-MPE datagram section header and CRC trailer will be removed before passing the message (i.e., IP datagram) to the requesting client. Note that ReadServiceIPData will return, via a callback, a disconnected status if, for example, a channel change is detected.

1.13. DeselectServiceIPData

Used to deselect the specified stream component that was previously selected with the SelectServiceIPData call. This call does not require component ID as does the normal DeselectServiceComponent. Service components that are deselected are disabled. The call returns a status indicating any errors encountered while servicing the API.

1.14. PostComponentRead

Used to request a message from a text or data-service component that was previously selected using the SelectServiceComponent API. The user specifies the connection ID of the text or data-service component. The PostComponentRead returns a status as soon as the read is posted, indicating any errors encountered while servicing the API, and the actual message is routed to the client by the message distribution software, using the callback function and the callback data structure.

1.15. AcquireBackgroundServiceBlocking

Used to acquire downstream data from the specified service source. The passed array of multicast 16 addresses allows the user to specify the multicast addressed groups to receive messages for. The API places the correct ID for the new service in the user-allocated memory location. The call returns a status indicating any errors encountered while servicing the API.

1.16. AcquireBackgroundServiceNonBlocking

Used to acquire downstream data from the specified service source. The passed array of multicast 16 addresses allows the user to specify the multicast

addressed groups to receive messages for.

AcquireBackgroundServiceNonBlocking initiates acquiring
of a service but does not wait for completion of the
acquisition before returning. The API places the
5 connect ID for the new service in the user-allocated
memory location. The acknowledge response returned by
the API indicates basic errors that would prevent the
API from being serviced. If the initial call did not
have an error then upon completion of the service
10 acquisition (either by success or failure) the user
defined callback function will be called, passing the
user-allocated callback data structure.

1.17. ReleaseBackgroundService

Used to release access to downstream data from the
15 specified service connection. The call returns a status
indicating any errors encountered while servicing the
API.

1.18. PostBackgroundRead

Used to receive data or text from the specified
20 background service connection that was previously
acquired using the AcquireBackgroundServiceBlocking or
AcquireBackgroundServiceNonBlocking API.
PostBackgroundRead returns a status as soon as the read
is posted, indicating any errors encountered while
25 servicing the API, and the actual message is routed to
the client by the message distribution software, using
the callback function and the callback data structure.

1.19. SourceIDtoVCN

Used to obtain the virtual channel number(s)
30 associated with the specified SourceID. If there are
multiple VCNs associated with a single source ID, the
complete list of associated VCNs is returned. A

successful call will fill in the API allocated structure, which includes the number of VCNs actually associated with the VCN, the number of VCN/service type pairs actually returned for the call, and the actual VCN and service type (analog vs. digital) for each of the VCNs defined. The API will assign the passed pointer to the allocated structure. The call returns a status indicating any errors encountered while servicing the API.

1.20. VCNtoSourceID

Used to obtain the Source ID associated with the specified VCN. A successful call will fill in the user allocated SourceID parameter. There is only a single source ID associated with a VCN. The call returns a status indicating any errors encountered while servicing the API.

1.21. PendingVCTChange

Used to obtain a list of pending changes to the Virtual Channel Table (VCT), from the specified (GPS-Global Positioning Satellite) time forward. A successful call will fill in the API allocated structure with the pending VCT changes and assign the passed pointer to the allocated structure. Each pending change includes the Virtual Channel Number, Service Source ID, and the Global Positioning System (GPS) time indicating when the change to the VCT is scheduled to occur. The call returns a status indicating any errors encountered while servicing the API.

1.22. GetDCBM

Used to obtain the Defined Channel Bit Map (DCBM) for the specified channel type. The DCBM is a bit-map that represents the currently defined virtual channels

(services). A successful call will fill in the user allocated DCBM array. This list of defined channels is provided as a packed array of bits, with one bit location per service. A bit value of 1 represents a defined channel, 0 represents not defined. The call returns a status indicating any errors encountered while servicing the API.

1.23. ServiceComponentSplice

Used to identify the next audio and/or video components for a service, and to determine whether audio and/or video are to be switched.

1.24. AppIDtoVCN

Used to obtain the virtual channel number associated with the specified application ID. A successful call will fill in the user allocated pVcn parameter (a pointer to VCN information). There is only a single VCN associated with an application ID. The call returns a status indicating any errors encountered while servicing the API.

1.25. VCNtoAppID

Used to obtain the application ID associated with the specified VCN. A successful call will fill in the user allocated application ID parameter. There is only a single application ID associated with a VCN. The call returns a status indicating any errors encountered while servicing the API.

1.26. SourceNameToAppID

Used to obtain the application ID associated with the specified source name string. A successful call will fill in the user allocated pAppID parameter (a pointer to where to place the application ID). There is only a single application associated with a source name string.

The call returns a status indicating any errors encountered while servicing the API.

1.27. AppIDtoSourceName

Used to obtain the source name string ID associated with the specified application ID. A successful call will fill in the API allocated source name string parameter and the user allocated name length parameter. There is only a single source name string associated with an application ID. The call returns a status indicating any errors encountered while servicing the API.

2. System APIs

2.1. SysRequestConfiguration

Used to get the current terminal configuration. The call returns a status indicating any errors encountered while servicing the API.

2.2. SysRequestEPGConfig

Used to get the following Electronic Program Guide (EPG) information:

- Group Assignment Configuration - A list of current Group Assignments. Group Assignments provide a means of assigning a settop to a particular application group.
- Currency Region Configuration - Defines the currency region. The currency region can be used to define different cost regions.
- EPG Region Configuration - Specifies the logical location of the DCT, from the application's perspective.
- Preferred Language - The current preferred language.

The call returns a status indicating any errors encountered while servicing the API.

2.3. **SysRequestStatus**

Used to get the current converter system status.

5 The call returns a status indicating any errors encountered while servicing the API.

2.4. **SysRequestTimestamp**

Used to get a system timestamp with local time to the second, current date, time zone information, etc.

10 The call returns a status indicating any errors encountered while servicing the API.

2.5. **SysTimestampRegister**

Used to register the client for unsolicited system timestamp updates. The system timestamp indicates local time to the second, including the current date, day of the week, time zone information, etc. The system timestamp is received from the headend and forwarded asynchronously to the client. The status returned by the API indicates any errors that would prevent the API from being serviced. If the initial call did not have an error, then whenever a new system timestamp is received, the user defined callback function will be called, passing the user-allocated callback data structure.

25 2.6. **SysTimestampCancel**

Used to cancel the registration for system time updates that was set up by the SysTimestampRegister API. The call returns a status indicating any errors encountered while servicing the API.

30 2.7. **SysSetRFOutChannel**

Used to set the output channel number for the radio frequency (RF) modulator hardware. The call returns a

status indicating any errors encountered while servicing the API.

2.8. **SysControlOnOffState**

Used to set the terminal to the On or Off state.

5 The call returns a status indicating any errors encountered while servicing the API.

2.9. **SysControlACRelay**

Used to switch between the different utility outlet modes. The terminal features the ability to control the operation of a utility outlet between switched (automatic mode) control and continuous force on / continuous force off modes. When the terminal is in the automatic mode, the utility outlet will be powered while the terminal is in the ON state and unpowered while the terminal is in the OFF state. The manual operation modes allow the application to set the utility (U.S. type) outlet ON continuously or OFF continuously. The call returns a status indicating any errors encountered while servicing the API.

20 2.10. **SysControlRFBypass**

Used to select whether the RF signal is routed through the terminal or bypasses the terminal. This feature allows more friendly operations with cable-ready TVs and VCRs. The feature duplicates the TV/VCR switch found in many VCRs. The act of turning OFF the terminal will force the bypass condition. The cable operator may override the selected state. The actual state can be determined using SysRequestStatus(). The call returns a status indicating any errors encountered while servicing the API.

30

2.11. SysSetLanguage

Used to set the consumer's preferred language. The call returns a status indicating any errors encountered while servicing the API.

5 3. Unsolicited Event Indication APIs

3.1. UsolEventRegister

Used to register clients for unsolicited event indication messages. The status returned by the API indicates any errors that would prevent the API from being serviced. If the initial call did not have an error, then whenever a new event occurs for which a client is registered, the user defined callback function will be called, passing the user-allocated callback data structure.

15 3.2. UsolEventCancel

Used to cancel the registration for an unsolicited event indication that was set up by the UsolEventRegister API. The call returns a status indicating any errors encountered while servicing the API.

4. Baseline Privacy Interface APIs

4.1. Purpose & Scope

This section is intended to define a BPI cryptographic services interface by defining a set of API functions to support BPI key management (BPKM) protocol for a DOCSIS driver. These APIs shall not be responsible for the implementation of Authorization and Traffic Encryption Key (TEK) state machines in BPKM.

4.2. Security Objectives and Goals

30 API functions are defined to provide cryptographic

services for the above-mentioned two state machines only. The cryptographic services shall include the following:

- 5 • Provide Cable Modem's RSA public key to the DOCSIS Driver.
- Perform RSA decryption operation on encrypted Authorization Key provided by DOCSIS Driver. There are two independent modes in which the GI BPI APIs can be included into the code.
- 10 ➤ Shall return decrypted Authorization Key to the DOCSIS Driver if DOCSIS Driver has capability of deriving all of the necessary remaining keys. If this mode is selected, only two BPI APIs need to be included in the code (CMExportID, CMAuthKey).
- 15 ➤ Shall not return decrypted Authorization Key to the DOCSIS Driver. If this mode is selected, then five BPI APIs need to be included in the code (CMExportID, CMDeriveKeys, CMGenerateHMAC, CMVerifyHMAC, CMDecryptTEK).
- 20 • Generate Key Encryption Key (KEK) based on the decrypted Authorization Key, but shall not return KEK to the DOCSIS Driver.
- Generate upstream hashed-based message authentication code (HMAC) Key, but shall not return the upstream HMAC key to the DOCSIS Driver.
- 25 • Authenticate the Key Request message, and return the upstream HMAC keyed message digest to the DOCSIS Driver.
- Generate downstream hashed-based message authentication code (HMAC) Key, but shall not return the downstream HMAC key to the DOCSIS Driver. Validate the downstream HMAC using the downstream HMAC key.
- 30

- Decrypt DES encrypted Traffic Encryption Key (TEK) using KEK, and return TEK to the DOCSIS Driver.

4.3. Definitions, Acronyms, Abbreviations

BPI Baseline Privacy Interface

5 BPKM Baseline Privacy Key Management protocol

CM Cable Modem

CMTS Cable Modem Termination System

CBC Cipher Block Chaining

CSP Interface Cryptographic Service Provider

10 DES Data Encryption Standard algorithm (FIPS-46, FIPS-46-1, FIPS-74, FIPS-81)

DOCSS Data Over Cable Security System

DOCSIS Data Over Cable Service Interface

Specifications

15 HMAC Hashed-based Message Authentication Code

KEK Key Encryption Key

RSA Rivest Shamir Adleman, public key encryption technique

SHA Secure Hash Algorithm

20 SID Service Identification

TEK Traffic Encryption Key

4.4. Message Support Functions

CExportID

Used to export CM-Identification attributes from the BPI Cryptographic Service Provider (CSP). CM-
25 Identification attributes are used in Authorization Request and Key Request messages.

CMAuthKey

Used to decrypt the Authorization Key (Auth Key) sent in the Authorization Reply message, and then pass the decrypted Auth Key back to the caller. This
30 function shall be called by the DOCSIS Driver

immediately when a Authorization Reply message is received from a CMTS. If the calling entity uses this API then the calling entity will be required to derive the Key Encryption Key (KEK), upstream HMAC key (HMAC_KEY_U), and downstream HMAC key (HMAC_KEY_D). This API will be used in the WinCE based ASTB. The determination of whether to include this API or the CMDeriveKeys API will be determined by the customer requirements. Based on these requirements, one or the other API will be part of the compile for that systems software build.

CMDeriveKeys

Used to derive the Authorization Key (Auth Key), the Key Encryption Key (KEK), upstream HMAC key (HMAC_KEY_U), and downstream HMAC key (HMAC_KEY_D). This function shall be called by the DOCSIS Driver immediately when a Authorization Reply message is received from a CMTS. The BPI CSP shall maintain a single active authorization key and associated derived keys. Auth Key, KEK, HMAC_KEY_U, and HMAC_KEY_D shall not be available to DOCSIS Driver. This API shall be used when the DOCSIS driver does not have the capability to derive all of the needed keys from the Auth Key.

CMGenerateHMAC

Used to generate a keyed message digest (HMAC-Digest) for the Key Request message (an upstream message from CM to CMTS), as defined in BPI Spec. HMAC-Digest shall be returned to the DOCSIS Driver.

CMVerifyHMAC

Used for CM to authenticate the Key Reply, Key Reject, and TEK Invalid message.

CMDecryptTEK

Used for CM to decrypt the TEK-Key sub-attribute in a SA-Parameters attribute, as defined in section 4.2.1.5 of BPI Spec. This API will only produce one clear TEK each time it is accessed. In order for the Cable Modem (CM) to meet the requirement to have the primary and the secondary TEK available the DOCSIS driver will need to make this API call twice. The DOCSIS driver shall keep track of which call to the API is for the primary TEK and which call is for the secondary TEK.

5. Downloader APIs

5.1. Overview

The Downloader provides an API for applications and the App OS to manage objects loaded into the DCT5000 either from the head-end or via API calls.

5.2. FindFirstObject

Used to search for a currently loaded object and return information about it. It can be used in conjunction with the FindNextObject API to search the object directory for all objects matching specified criteria. The call returns a status indicating any errors encountered while servicing the API.

5.3. FindNextObject

Used to search for the next currently loaded object and return information about it. It is used in conjunction with the FindFirstObject API to search the object directory for all objects matching specified criteria. The FindFirstObject call must have returned a successful result before this call can be used to continue a search. All parameters specified on the FindNextObject must match those from the previous FindNextObject or the FindFirstObject call. Once a not

found condition is returned, a FindFirstObject must be used to start a new search. This call returns a status indicating any errors encountered while servicing the API.

5 5.4. RegisterObjectManager

Used by the App OS to register as the manager for managed objects. The Downloader will notify the object manager via a callback whenever a managed object's state changes or is requested to change (e.g., delete message from head-end). The call returns a status indicating any errors encountered while servicing the API.

5.5. WriteCompleteObject

Used to create and write an object in one atomic operation. This is useful when the object has been completely loaded into a memory buffer. The call returns a status indicating any errors encountered while servicing the API.

5.6. CreateObject

Used to prepare for an object to be written to memory, including allocating space for it. This is useful for creating an object when all the data for the object is not presently available in memory (see WriteCompleteObject). The call returns a status indicating any errors encountered while servicing the API.

The combination of CreateObject, WriteObject, and CloseObject can be used to create an object that is not available all at once to be written in a single write operation (as with WriteCompleteObject). The caller is responsible for tracking the areas of the object that have been written to. If a CloseObject is issued before all parts of the object have been written, the contents

of unwritten portions of the object may contain random values (i.e., no attempt is made by WriteObject to track what areas have been written to). If an attempt is made to write new data over data already written with a previous WriteObject, either the new data will be successfully stored over the old data (if in RAM) or a write error will occur (if in flash memory).

5.7. WriteObject

Used to write a portion of an object to memory. The call returns a status indicating any errors encountered while servicing the API.

5.8. CloseObject

Used to terminate writing to object memory for a specified object. The call returns a status indicating any errors encountered while servicing the API.

5.9. ReadObject

Used to obtain the contents of the specified object. The call returns a status indicating any errors encountered while servicing the API.

5.10. DeleteObject

Used to remove one or more objects from memory. The call returns a status indicating any errors encountered while servicing the API.

5.11. PurgeObject

Used to remove one or more objects from memory. The call returns a status indicating any errors encountered while servicing the API.

5.12. Callback Functions

Object Manager Callback

The object manager may receive callbacks from the Downloader, depending on activity that occurs in the DCT5000 related to downloaded objects. When a

significant event occurs, the object manager is called with a pointer to the callback structure that identifies the event and the object to which the event applies. Callbacks are issued as events occur, so there may be
 5 more than one outstanding callback at any given time. The structure is both allocated and deallocated by the Downloader.

6. Purchase and Authorization APIs

6.1. PurchaseProgramImmediate

10 Requests that the program on the currently tuned VCN be purchased. The specified VCN must match the currently tuned VCN, and ServiceStatus should indicate that the program is purchasable.

6.2. PurchaseProgram

15 Requests that the program on the currently tuned VCN be purchased. The specified VCN must match the currently tuned VCN, and ServiceStatus should indicate that the program is purchasable.

6.3. CancelProgramPurchase

20 Requests that the purchase of the specified program be canceled. The cancel ID and the VCN are required to cancel a previously purchased program. It is not necessary to be tuned to the specified VCN in order to cancel a purchase. The cancel ID is used to uniquely
 25 identify the purchase to be canceled, and the VCN is used as a secondary cross-reference. Note that the purchase must be "cancelable" for the request to be fulfilled. A purchase is cancelable if the time of the cancellation falls within the Cancelable Window and the
 30 headend has configured the terminal to allow canceling. The Cancelable Window is defined (approximately) as the

time between the start of the Interactive Pay Per View (IPPV) window and the end of the free preview (i.e., IPPV start + free preview duration).

6.4. PurchasePackageImmediate

5 Requests that the program package indicated by the PackageName on the currently tuned VCN be purchased. The specified VCN must match the currently tuned VCN, and ServiceStatus should indicate that the package is purchasable.

10 6.5. PurchasePackage

Requests that the program package indicated by the PackageName on the currently tuned VCN be purchased. The specified VCN must match the currently tuned VCN, and ServiceStatus should indicate that the package is purchasable.

15 6.6. CancelPackagePurchase

Requests that the purchase of the specified packaged service be canceled. The cancel ID and the VCN are required to cancel a previously purchased program.

20 It is not necessary to be tuned to the specified VCN in order to cancel a purchase. The cancel ID is used to uniquely identify the purchase to be canceled, and the VCN is used as a secondary cross-reference. Note that the purchase must be "cancelable" for the request to be

25 fulfilled. A purchase is cancelable if the time of cancellation falls within the Cancelable Window and the headend has configured the terminal to allow canceling. The Cancelable Window is defined (approximately) as the

30 time between the start of the IPPV window and the end of the free preview (i.e. IPPV start + free preview duration).

6.7. **GetPendingPurchases**

Requests all purchases that are pending.
Typically, this function is utilized following system start-up to determine what purchases are outstanding.
5 The user can then provide a callback function for each pending purchase using the PurchaseCallbackRefresh API. This API provides purchases of all types, including analog programs, digital programs and digital packages. Only certain parameters are valid in each case.

10 6.8. **PurchaseCallbackRefresh**

This API is utilized by the user, following system start-up, to refresh the purchase callback function pointer for a specified program or package purchase.

7. **Password APIs**

15 7.1. **SettopSetPassword**

Sets the password for indicated slot. Password slot PARENTAL_PASSWORD is used for the Parental Control password and slot PURCHASE_PASSWORD for purchasing. Additional slots may be provided for generic passwords,
20 depending on the terminal model. A password is an array of PASSWORD_LENGTH characters. The call returns a status indicating any errors encountered while servicing the API.

7.2. **SettopCheckPassword**

25 Verifies the indicated password for a particular slot. Password slot PARENTAL_PASSWORD is used for the Parental Control password and slot PURCHASE_PASSWORD for purchasing. Additional slots may be provided for generic passwords, depending on the terminal model. If
30 the password has been cleared or never been set, any password will match. The call returns a status

indicating any errors encountered while servicing the API.

8. Initialization APIs

8.1. StartGITV

5 Starts GITV. The application operating system is the external client that interfaces to this API. The call returns a status indicating any errors encountered while servicing the API.

8.2. IsGITVReady

10 Verifies GITV is running. The application operating system is the external client that interfaces to this API. The call returns a status indicating any errors encountered while servicing the API.

9. Platform Configuration APIs

9.1. GetSDRAMInfo

15 Checks the validity of SDRAM, returning the starting address, size and validity of the SDRAM installed in the settop. The call returns a status indicating any errors encountered while servicing the
20 API.

9.2. GetEndianStatus

Returns the ENDIANness of the CPU at initialization.

9.3. GetNVMEMInfo

25 Checks the validity of NVMEM, returning the starting address, size and validity of the NVMEM installed in the settop. The call returns a status indicating any errors encountered while servicing the API.

9.4. GetSettopIDs

Retrieves information about the settop including the Platform ID, Manufacturer, Family and Model information. The call returns a status indicating any errors encountered while servicing the API.

9.5. GetTSIIInfo

Retrieves the processor, bridge type and crystal speeds for the settop, in addition to the MAC addresses for DOCSIS, Ethernet, 1394, USB, and Settop. The call returns a status indicating any errors encountered while servicing the API.

9.6. GetMemoryInfo

Retrieves memory size information for miscellaneous memory components of the settop. The call returns a status indicating any errors encountered while servicing the API.

9.7. GetCableModemInfo

Retrieves cable modem and DOCSIS option information. The call returns a status indicating any errors encountered while servicing the API.

9.8. GetMiscellaneousInfo

Retrieves the type of output channel in use by the settop. The call returns a status indicating any errors encountered while servicing the API.

9.9. Get1394Info

Retrieves information about the 1394 interface installed in the settop. The call returns a status indicating any errors encountered while servicing the API.

9.10. GetEthernetInfo

Retrieves information about the Ethernet interface installed in the settop. The call returns a status

indicating any errors encountered while servicing the API.

9.11. GetParallelPortInfo

Retrieves information on the parallel port
5 installed in the settop. The call returns a status
indicating any errors encountered while servicing the
API.

9.12. GetHardDriveInfo

Retrieves the type of hard drive currently
10 installed in the settop. The call returns a status
indicating any errors encountered while servicing the
API.

9.13. GetPlatformInfo

Retrieves the type of platform and the version of
15 the platform currently running in the settop. The call
returns a status indicating any errors encountered while
servicing the API.

10. Diagnostic APIs

10.1. GetErrorStatus

20 Indicates the type of error when an error has
occurred. Since the settop could be one of the
following: Bootloader, Base Platform or Native Suite,
the coding scheme and interpretation may differ per
platform. This API does not support the Bootloader
25 error codes. These can be found in the Start-up
Database. This call returns a status indicating any
errors encountered while servicing the API.

10.2. GetPurchasesStatus

The GetPurchaseStatusdiagnostic contains
30 information related to IPPV purchases in the settop.
This call returns a status indicating any errors

encountered while servicing the API.

10.3. GetOutputPortStatus

The output port status contains information related to the terminal output or re-modulated (Remod) port.

5 The output / Remod port is the interface from the terminal to the subscriber's television set. This call returns a status indicating any errors encountered while servicing the API.

10.4. GetLastReset

10 The GetLastReset indicates the last reset time in GPS seconds, the type of reset that occurred and the last Fatal Error Log entry.

10.5. GetVCTID

15 Indicates the VCT ID for the virtual channel table that is resident in the settop.

10.6. GetOOBStatus

Indicates the status of the OOB stream components. This call returns a status indicating any errors encountered while servicing the API.

20 **10.7. GetInbandStatus**

Indicates the status of the current INBAND multiplex.

10.8. GetUnitAddresses

25 Indicates the unit addresses assigned to the settop. This call returns a status indicating any errors encountered while servicing the API.

10.9. GetCurrentChannelStatus

Indicates the status of the last attempted primary service acquisition.

30 **10.10. GetRenewableSecurityStatus**

The concept of Renewable security changes to

support DES decryption or the original Conditional Access. The renewable security slot has a PCMCIA Type II form factor, contains 2 MB secure non-volatile memory, supports cryptographic on-board key generation and encryption and DES decryption rate of 80 Mbps.

10.11. GetRFModemStatus

Indicates the transmission status of the RF modem installed in the settop. This call returns a status indicating any errors encountered while servicing the API.

10.12. GetCodeModuleStatus

Indicates the status for any firmware loaded into flash memory and all versions of non-volatile code that is installed in the settop.

10.13. GetMemoryStatus

Indicates the memory configuration for the settop. This call returns a status indicating any errors encountered while servicing the API.

10.14. GetDOCSISStatus

This API is used to retrieve DOCSIS diagnostics information from the AppOS for On Screen Diagnostics or reportback. The call returns a status indicating any errors encountered while servicing the API.

10.15. GetUSBStatus

Returns status of the USB port and any installed devices. The call returns a status indicating any errors encountered while servicing the API.

10.16. Get1394Status

Returns status of the 1394 port. The call returns a status indicating any errors encountered while servicing the API.

10.17. GetEthernetStatus

Returns status of the Ethernet port. The call returns a status indicating any errors encountered while servicing the API.

5 **10.18. GetParallelStatus**

Returns status of the Parallel port. The call returns a status indicating any errors encountered while servicing the API.

10.19. GetIRBlasterStatus

10 Returns status of the IR blaster (transmitter). The call returns a status indicating any errors encountered while servicing the API.

10.20. GetIRKeyboardStatus

15 Returns status of the IR keyboard. This call returns a status indicating any errors encountered while servicing the API.

10.21. GetIRRemoteStatus

20 Returns status of the IR remote. The call returns a status indicating any errors encountered while servicing the API.

10.22. GetSmartCardStatus

Returns status of the Smart Card. The call returns a status indicating any errors encountered while servicing the API.

25 **10.23. GetHardDriveStatus**

Returns status of the hard drive. The call returns a status indicating any errors encountered while servicing the API.

10.24. GetSettopGraphicsStatus

30 Returns status of the settop graphics system. The call returns a status indicating any errors encountered

while servicing the API.

10.25. GetNetworkAdapterStatus

Indicates whether a network adapter is available in addition to associated parameters and/or status.

5 **10.26. GetResourceAuthorizationStatus**

This API returns the Resource Authorization status for each resource in the settop.

10.27. GetMPEGLockStatus

10 This API returns the lock status of the MPEG video and audio streams, as well as the Program Clock Reference (PCR).

11. Audio Server APIs

11.1. AudioControlModeSelect

15 The Audio Control Mode Select API call allows a client to set the DCT5000's Audio Output Mode. Selections include: Surround, Stereo, and Mono.

11.2. AudioControlVolumeModeSelect

20 The Audio Control Volume Mode Select API call allows a client to set or adjust GITV's Volume Unit definition. This API call is independent of service type, Analog or Digital.

11.3. AudioControlLoopThruSelect

The Audio Loop Thru Control API call allows a client to enable or disable Audio Loop Thru.

- 25 - Loop Thru Enabled (On): Settop outputs the external audio source on the baseband connectors.
- Loop Thru Disable (Off): Settop mutes the external audio source.

11.4. AudioControlAC3CompressionSelect

30 The AC-3 Compression Control API call allows a

client to set the AC-3 Dynamic Range Compression Mode:
No Compression, Light Compression or Heavy Compression.

11.5. AudioControlSAPSelect

5 The SAP Selection API call allows a client to
select the Secondary Audio Program (SAP) Audio Source
within an Analog Service, if available. This operation
only applies to a single Analog Service. Specifically,
the settop only allows SAP to be selected while acquired
or tuned to an Analog Service with SAP.

10 11.6. AudioControlSPDIFSelect

The Sony Philips Digital Interface Format (SPDIF)
Selection API call allows a client to select the
settop's SPDIF or Digital Audio Output path over the
Analog Audio Output paths (remod and baseband).

15 The settop provides three SDPIF output modes:

- SPDIF Enabled: Settop outputs audio over
SPDIF, baseband and remod audio is unavailable. Audio
output formats include: downmixed surround (l+r),
stereo, and mono.
- 20 - SPDIF Enabled with Full AC-3 Output: Settop
outputs AC-3 directly over SDPIF. AC-3 output only
applies the Digital Services. Stereo or Mono output for
Analog Services over SPDIF. Requires an AC-3 decoder
downstream from the settop.
- 25 - SPDIF Disabled: Settop outputs audio over
the Analog Output paths (remod, and baseband). Audio
output formats include: downmixed surround (l+r),
stereo, and mono.

11.7. AudioVolume (Basic Control)

30 This API call allows a client to adjust the
settop's master audio volume. The settop adjusts both
the left and right channel outputs.

11.8. AudioVolumeBalance (Balance Control)

This API call allows a client to adjust the settop's master audio volume with separate left and right channel values.

5 **11.9. AudioMute**

Select master Audio Mute mode on or off.

11.10. AudioTvVolume (Basic Control)

10 This API call allows a client to adjust the relative volume of TV audio sources. This call adjusts both the left and right channel outputs.

11.11. AudioTvVolumeBalance (Balance Control)

The TV Balance Volume Control API call allows a client to adjust the relative volume of TV audio sources with separate left and right channel values.

15 **11.12. AudioTvMute**

Select TV Audio Mute mode on or off.

11.13. AudioLocalVolume (Basic Control)

20 This API call allows a client to adjust the relative volume of local audio sources (PCM, wave, etc.). This call adjusts both the left and right channel outputs.

11.14. AudioLocalVolumeBalance (Balance Control)

25 This API call allows a client to adjust the relative volume of local audio sources (PCM, wave, etc.) with separate left and right channel values.

11.15. AudioLocalMute

Select Local Audio Mute mode on or off.

11.16. AudioStatus

30 The GITV Audio Server provides a single API call to report Audio Status.

12. Video Server APIs

12.1. VideoTvBlank

Select TV Video Blank mode on or off.

12.2. VideoControlTvBlankModeSelect

5 This API call controls how the TV video is blanked by the settop. The default values are defined so that the video operates as it did before the call was introduced.

12.3. VideoStatus

10 The GITV Video Server provides a single API call to report Video Status.

13. Resource Authorizations

13.1. ResourcePermissionCheck

Used to obtain the permission status of a resource.

15 When used in the Global mode, the API takes the resourceID as an input parameter and attempts to lookup the ID in the resource/tier/status table. If the ID is found, the permission status is returned to the caller. If the ID is not found or a table does not exist, the

20 API will return an error. If the API is to be used in the Application mode, the caller must include the Object ID and the Object version pointer as additional input parameters. In this case the API will verify the Global access for the ASTB as well as verify that the Object

25 has access to the resource.

13.2. ResAuthStatusRegister

Used to register the client to receive a notification if the authorization status of a resource changes. The status returned by the API indicates any

30 errors that would prevent the API from being serviced. Once registered for a particular resource, whenever the

authorization status for that resource changes, the user will be notified using the callback mechanism.

13.3. ResAuthStatusCancel

Used to cancel the registration for a resource authorization status indication that was set up by the ResAuthStatusRegister API. The call returns a status indicating any errors encountered while servicing the API.

14. High Definition Passthrough APIs

14.1. GITV_Get13945CData

This function is called to obtain the block of protected flash data containing the DTCP data.

14.2. GITV_Set1394NVRAMData

This function is called to write the block of non-volatile random access memory (NVRAM) data containing the DTCP data.

14.3. GITV_Get1394NVRAMData

This function is called to read the block of NVRAM data containing the DTCP data.

14.4. GITV_GetTextDescriptor

Provides an alphanumeric description of the settop on the 1394 bus.

14.5. GITV_DTVConnectStatus

Defines the current state of the DTV connection.

14.6. GITV_Get13945CSR

This function is called to obtain the 1394 5C SRM (System Renewability Message(s)). These messages contain certificate revocation lists of devices that are no longer 5C compliant. This is used to ensure the long-term integrity of the system. The SRM format is

described in chapter 7 of the 1394 5C specification
"Digital Transmission Content Protection Specification"
Volume 1, Revision 1.0 dated 4/12/99.

5 It should now be appreciated that the present
invention provides a software interface that enables
television settop middleware and operating system (OS)
software to control and interact with core settop system
software in a subscriber television terminal to
10 facilitate the provision of desirable digital television
services, including service acquisition (e.g.,
acquisition of a pay-per-view movie), system information
management, settop configuration and control, return
path for polling, download capability including
15 authentication and authorization, and non-volatile
memory management.

Moreover, the software interface is operable in an
architecture that enables core settop software to be
compatible with multiple settop operating environments.
With this software architecture, it is not necessary to
20 port the core software to different operating
environments by rewriting code specific to each
environment or through an abstraction layer. Instead, a
unique device drivers-to-driver model interface is
provided together with a kernel abstraction component
25 that overcomes portability issues and provides for a
clean interface between layers in the architecture.

The invention can be implemented using object
oriented techniques, such as C++ or Java programming,
although the invention is by no means limited to such an
30 implementation.

The combination of the components described herein
provides a basis for settop software to enable advanced

features of an advanced settop box implementation. Each of the components provides necessary functions required by an advanced settop terminal. The novel architecture of the invention provides the benefits of reuse and portability of the core settop software to alternate operating environments, thereby allowing well-understood and previously tested software components to be reused. The architecture also allows implementations in alternate operating environments to be quickly and straightforwardly provided.

Although the invention has been described in connection with various specific implementations, it should be appreciated that various adaptations and modifications can be made thereto without departing from the scope of the invention as set forth in the claims.

What is claimed is:

1. An interface to core system software in a user terminal, comprising:
a computer readable medium having computer program code; and
means for executing said computer program code to provide at least one application program interface (API) to enable middleware that mediates between an application program and the core system software to access a function of the terminal.
2. The interface of claim 1, wherein:
the function of the terminal comprises acquiring a service.
3. The interface of claim 1, wherein:
the function of the terminal comprises acquiring a service by tuning a specified virtual channel number or source ID using a specified service path.
4. The interface of claim 1, wherein:
the function of the terminal comprises determining the status of a service.
5. The interface of claim 1, wherein:
the function of the terminal comprises requesting status information regarding a currently-tuned primary service on a specified service path.
6. The interface of claim 1, wherein:
the function of the terminal comprises registering a client for unsolicited service status updates for a

currently tuned primary service on a specified service path.

7. The interface of claim 1, wherein:
the function of the terminal comprises canceling a registration for service status updates that was previously set up.

8. The interface of claim 1, wherein:
the function of the terminal comprises obtaining a summary of current Virtual Channel Table information for all defined virtual channels.

9. The interface of claim 1, wherein:
the function of the terminal comprises obtaining a summary of current Virtual Channel Table information and characteristics for all defined DOCSIS downstream channels.

10. The interface of claim 1, wherein:
the function of the terminal comprises adding a service component of a specified type to a primary service on a specified service path.

11. The interface of claim 1, wherein:
the function of the terminal comprises deselecting a specified component from a primary service on a specified service path.

12. The interface of claim 1, wherein:
the function of the terminal comprises selecting a service component that carries particular multicast datagrams.

13. The interface of claim 1, wherein:
the function of the terminal comprises extracting datagram fragments from datagram sections being carried on one or more elementary PID stream components.

14. The interface of claim 1, wherein:
the function of the terminal comprises deselecting a specified stream component that was previously selected.

15. The interface of claim 1, wherein:
the function of the terminal comprises requesting a message from a text or data-service component that was previously selected

16. The interface of claim 1, wherein:
the function of the terminal comprises at least one of:
acquiring downstream data from a specified service source; and
releasing access to downstream data from a specified service connection.

17. The interface of claim 1, wherein:
the function of the terminal comprises receiving data or text from a specified background service connection that was previously acquired.

18. The interface of claim 1, wherein:
the function of the terminal comprises obtaining at least one virtual channel number associated with a specified source identifier

19. The interface of claim 1, wherein:
the function of the terminal comprises obtaining a source identifier associated with a specified virtual channel number.

20. The interface of claim 1, wherein:
the function of the terminal comprises obtaining a list of pending changes to a Virtual Channel Table.

21. The interface of claim 1, wherein:
the function of the terminal comprises obtaining a Defined Channel Bit Map (DCBM) for a specified channel type that represents currently defined virtual channels/services.

22. The interface of claim 1, wherein:
the function of the terminal comprises identifying a next audio and/or video component for a service.

23. The interface of claim 1, wherein:
the function of the terminal comprises obtaining a virtual channel number associated with a specified application identifier.

24. The interface of claim 1, wherein:
the function of the terminal comprises obtaining an application identifier associated with a specified Virtual Channel Number.

25. The interface of claim 1, wherein:
the function of the terminal comprises obtaining an application identifier associated with a specified

source name string.

26. The interface of claim 1, wherein:
the function of the terminal comprises obtaining a source name string identifier associated with a specified application ID.

27. The interface of claim 1, wherein:
the function of the terminal comprises managing a configuration of the terminal.

28. The interface of claim 1, wherein:
the function of the terminal comprises obtaining at least one of:
current terminal configuration information;
Electronic Program Guide (EPG) information;
current converter system status; and
a system timestamp with local time.

29. The interface of claim 1, wherein:
the function of the terminal comprises at least one of:
registering a client for unsolicited system timestamp updates; and
canceling a registration for system timestamp updates.

30. The interface of claim 1, wherein:
the function of the terminal comprises setting an output channel number for RF modulator hardware.

31. The interface of claim 1, wherein:
the function of the terminal comprises setting the

terminal to an On or Off state.

32. The interface of claim 1, wherein:
the function of the terminal comprises switching
between different utility outlet modes.

33. The interface of claim 1, wherein:
the function of the terminal comprises selecting
whether an RF signal is routed through the terminal or
bypasses the terminal.

34. The interface of claim 1, wherein:
the function of the terminal comprises setting a
consumer's preferred language.

35. The interface of claim 1, wherein:
the function of the terminal comprises indicating
an unsolicited event.

36. The interface of claim 1, wherein:
the function of the terminal comprises at least one
of:
registering a client for unsolicited event
indication messages; and
canceling a registration for unsolicited event
indication messages.

37. The interface of claim 1, wherein:
the function of the terminal comprises managing
privacy.

38. The interface of claim 1, wherein:
the function of the terminal comprises at least one

of:

providing a Cable Modem's public key to a DOCSIS Driver;

performing decryption operations on an encrypted Authorization Key provided by a DOCSIS Driver;

generating a Key Encryption Key (KEK) based on a decrypted Authorization Key;

generating an upstream hashed-based message authentication code (HMAC) Key;

authenticating a Key Request message, and return an upstream hashed-based message authentication code (HMAC) keyed message digest to a DOCSIS Driver;

generating a downstream hashed-based message authentication code (HMAC) Key;

validating a downstream hashed-based message authentication code (HMAC) using a downstream HMAC key; and

decrypting an encrypted Traffic Encryption Key (TEK) using a Key Encryption Key (KEK), and returning the TEK to a DOCSIS Driver.

39. The interface of claim 1, wherein:
the function of the terminal comprises managing objects that are downloaded by the terminal.

40. The interface of claim 1, wherein:
the function of the terminal comprises
searching for a currently loaded object and
returning information thereof.

41. The interface of claim 1, wherein:
the function of the terminal comprises searching
for a next currently loaded object and returning

information thereof.

42. The interface of claim 1, wherein:
the function of the terminal comprises registering
as a manager for managed objects.

43. The interface of claim 1, wherein:
the function of the terminal comprises creating and
writing an object in one atomic operation.

44. The interface of claim 1, wherein:
the function of the terminal comprises at least one
of:

preparing for an object to be written to memory,
including allocating space the object;
writing a portion of an object to memory; and
terminating writing to object memory for a
specified object.

45. The interface of claim 1, wherein:
the function of the terminal comprises obtaining
contents of a specified object.

46. The interface of claim 1, wherein:
the function of the terminal comprises removing at
least one object from memory.

47. The interface of claim 1, wherein:
the function of the terminal comprises providing an
object manager for receiving callbacks from a downloader
regarding activity that occurs in the terminal related
to downloaded objects.

48. The interface of claim 1, wherein:
the function of the terminal comprises purchasing a program.

49. The interface of claim 1, wherein:
the function of the terminal comprises at least one of:

requesting that a program on a currently-tuned Virtual Channel Number be purchased;

requesting that a purchase of a specified program be canceled;

requesting that a program package indicated by a package name on a currently tuned Virtual Channel Number be purchased;

requesting that a purchase of a specified packaged service be canceled; and

requesting information regarding all pending purchases

50. The interface of claim 1, wherein:
the function of the terminal comprises enabling a user of the terminal, following system start-up, to refresh a purchase callback function pointer for a specified program or package purchase.

51. The interface of claim 1, wherein:
the function of the terminal comprises setting and/or checking a password.

52. The interface of claim 1, wherein:
the function of the terminal comprises at least one of:

setting the password for an indicated time slot;

and

verifying a indicated password for a particular time slot.

53. The interface of claim 1, wherein:
the function of the terminal comprises initializing the at least one application program interface (API).

54. The interface of claim 1, wherein:
the function of the terminal comprises verifying that the at least one application program interface (API) is running.

55. The interface of claim 1, wherein:
the function of the terminal comprises configuring a platform of the terminal.

56. The interface of claim 1, wherein:
the function of the terminal comprises checking the validity of dynamic random access memory (DRAM) installed in the terminal by returning the starting address, size and validity of the DRAM.

57. The interface of claim 1, wherein:
the function of the terminal comprises returning the ENDIANness of a CPU of the terminal when the terminal is initialized.

58. The interface of claim 1, wherein:
the function of the terminal comprises checking a validity of a non-volatile memory (NVMEM) of the terminal by returning the starting address, size and validity of the NVMEM

59. The interface of claim 1, wherein:
 the function of the terminal comprises retrieving information about the terminal including at least one of the Platform ID, Manufacturer, Family and Model information.

60. The interface of claim 1, wherein:
 the function of the terminal comprises retrieving the processor, bridge type and crystal speeds for the terminal,

61. The interface of claim 1, wherein:
 the function of the terminal comprises retrieving MAC addresses for interfaces of at least one of DOCSIS, Ethernet, IEEE 1394, and USB components, and the terminal itself.

62. The interface of claim 1, wherein:
 the function of the terminal comprises retrieving at least one of:
 memory size information for memory components of the terminal;
 at least one of cable modem and DOCSIS option information;
 the type of output channel in use by the terminal;
 information regarding an IEEE 1394 interface installed in the terminal;
 information regarding an Ethernet interface installed in the terminal;
 information regarding a parallel port installed in the terminal;
 information regarding the type of hard drive

currently installed in the terminal; and
 information regarding the type of platform and the
 version of the platform currently running in the
 terminal.

63. The interface of claim 1, wherein:
 the function of the terminal comprises diagnosing
 errors at the terminal.

64. The interface of claim 1, wherein:
 the function of the terminal comprises indicating
 the type of error when an error has occurred.

65. The interface of claim 1, wherein:
 the function of the terminal comprises
 providing diagnostic information regarding
 Interactive Pay-Per-View purchases at the terminal.

66. The interface of claim 1, wherein:
 the function of the terminal comprises providing
 diagnostic information regarding an output port or re-
 modulated port of the terminal.

67. The interface of claim 1, wherein:
 the function of the terminal comprises indicating
 at least one of:
 the last reset time, the type of reset that
 occurred and the last Fatal Error Log entry;
 a Virtual Channel Table ID for the virtual channel
 table that is resident in the terminal;
 a status of out-of-band stream components;
 a status of a current in-band multiplex;
 a unit addresses assigned to the terminal;

a status of the last attempted primary service acquisition;

a renewable security status;

a transmission status of a RF modem installed in the terminal;

a status for firmware loaded into flash memory and all versions of non-volatile code that are installed in the terminal; and

a memory configuration for the terminal.

68. The interface of claim 1, wherein:

the function of the terminal comprises retrieving DOCSIS diagnostic information for On Screen Diagnostics or reportback.

69. The interface of claim 1, wherein:

the function of the terminal comprises returning a status of at least one of:

a USB port;

any installed devices;

an IEEE 1394 port;

an Ethernet port;

a parallel port;

an infra-red (IR) transmitter;

an IR keyboard;

an IR remote control;

a smart card;

a hard drive; and

a graphics system.

70. The interface of claim 1, wherein:

the function of the terminal comprises indicating whether a network adapter is available,

and associated parameters and/or status thereof.

71. The interface of claim 1, wherein:
the function of the terminal comprises
returning a Resource Authorization status for each
resource in the terminal.

72. The interface of claim 1, wherein:
the function of the terminal comprises returning a
lock status of MPEG video and audio streams, as well as
a Program Clock Reference (PCR).

73. The interface of claim 1, wherein:
the function of the terminal comprises controlling
an audio output of the terminal.

74. The interface of claim 1, wherein:
the function of the terminal comprises setting the
terminal's Audio Output Mode to one of: Surround,
Stereo, and Mono.

75. The interface of claim 1, wherein:
the function of the terminal comprises allowing a
client to at least one of:
set an Audio Control Volume Mode;
enable or disable Audio Loop Thru to output an
external audio source on baseband connectors, or mute
the external audio source, respectively;
set an Audio Compression Dynamic Range Compression
Mode to one of: No Compression, Light Compression and
Heavy Compression;
select a Secondary Audio Program (SAP) Audio Source
within an Analog Service, if available;

select the terminal's Digital Audio Output path instead of Analog Audio Output paths;

adjust the terminal's master audio volume, where the terminal adjusts left and right channel values;

adjust the terminal's master audio volume, including separate left and right channel values;

adjust the relative volume of TV audio sources, where the terminal adjusts left and right channel values;

adjust the relative volume of TV audio sources, including adjusts left and right channel values;

adjust the relative volume of local audio sources, where the terminal adjusts left and right channel outputs; and

adjust the relative volume of local audio sources, including left and right channel outputs.

76. The interface of claim 1, wherein:
the function of the terminal comprises selecting at least one of:

a master Audio Mute mode on or off;
a TV Audio Mute mode on or off; and
a Local Audio Mute mode on or off.

77. The interface of claim 1, wherein:
the function of the terminal comprises providing a single API call to report an Audio Status.

78. The interface of claim 1, wherein:
the function of the terminal comprises controlling a video output of the terminal.

79. The interface of claim 1, wherein:

the function of the terminal comprises selecting a TV Video Blank mode on or off.

80. The interface of claim 1, wherein: the function of the terminal comprises controlling how a TV video is blanked by the terminal.

81. The interface of claim 1, wherein: the function of the terminal comprises providing a single API call to report a Video Status.

82. The interface of claim 1, wherein: the function of the terminal comprises authorizing a resource of the terminal.

83. The interface of claim 1, wherein: the function of the terminal comprises obtaining a permission status of a resource.

84. The interface of claim 1, wherein: the function of the terminal comprises at least one of:

registering a client to receive a notification when the authorization status of a resource changes; and canceling a previously set up registration to receive a notification when the authorization status of a resource changes

85. The interface of claim 1, wherein: the function of the terminal comprises providing a high definition passthrough.

86. The interface of claim 1, wherein:
the function of the terminal comprises at least one
of:

obtaining a block of protected flash memory data
containing DTCP data;
writing a block of NVRAM data containing DTCP data;
and
reading a block of NVRAM data containing DTCP data.

87. The interface of claim 1, wherein:
the function of the terminal comprises at least one
of:

providing an alphanumeric description of the
terminal on an IEEE 1394 bus;
defining the current state of a Digital Television
(DTV) connection; and
obtaining an IEEE 1394 5C System Renewability
Message.

88. The interface of claim 1, wherein:
the terminal comprises a television terminal.

89. A method for providing an interface to core
system software in a user terminal, comprising the steps
of:

providing a computer readable medium having
computer program code; and
executing said computer program code to provide at
least one application program interface (API) to enable
middleware that mediates between an application program
and the core system software to access a function of the
terminal.

1 / 1

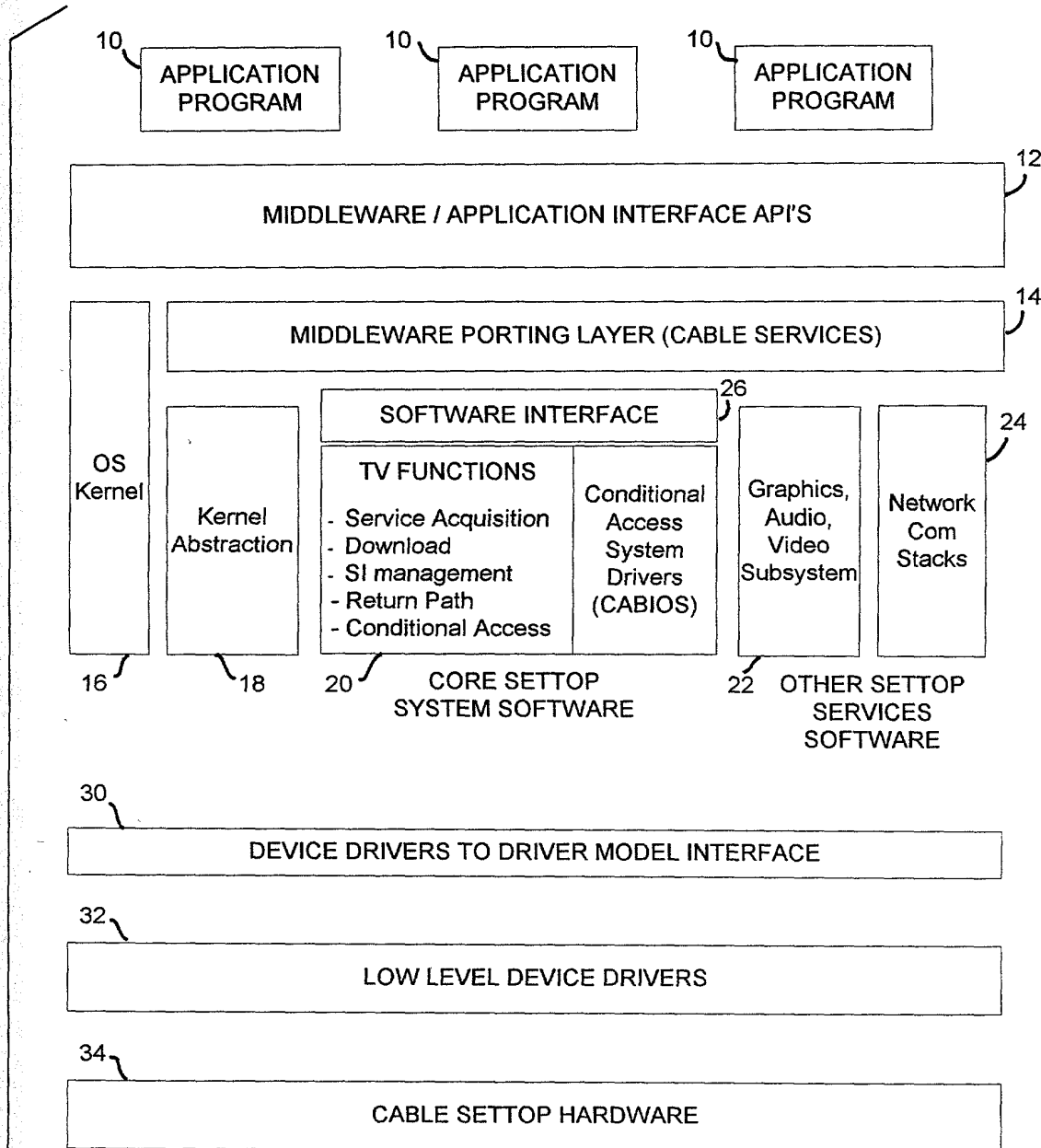


FIG. 1

DECLARATION, POWER OF ATTORNEY, AND PETITION

Attorney Docket No.: GIC-575

Page 1 of 3

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PROGRAMMING INTERFACE FOR TELEVISION SETTOP CORE SYSTEM SOFTWARE

the specification of which is attached hereto unless the following box is checked:

☒ [X] was filed on **May 8, 2000** as PCT International Application Number **PCT/US00/12547** and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

(Number)	(Country)	Month/Day/Year Filed	Priority Claimed	
			[]	[]
			Yes	No

(Number)	(Country)	Month/Day/Year Filed	[] []	
			Yes	No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

60/135,268

May 21, 1999

(Application Number)

(Filing Date) - Month/Day/Year

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

**U.S. Parent Application
or PCT Parent Number**

**Parent Filing Date
(MM/DD/YYYY)**

**Parent Patent Number
(if applicable)**

And I hereby appoint: Barry R. Lipsitz, Registration No. 28,637 and Douglas M. McAllister, Registration No. 37,886, of the firm of Barry R. Lipsitz, Attorney at Law, 755 Main Street, Bldg. 8, Monroe, Connecticut 06468, Telephone (203) 459-0200, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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0550760-44504

DECLARATION, POWER OF ATTORNEY, AND PETITION

Attorney Docket No.: GIC-575

Page 1 of 3

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PROGRAMMING INTERFACE FOR TELEVISION SETTOP CORE SYSTEM SOFTWARE

the specification of which is attached hereto unless the following box is checked:

☒ [X] was filed on **May 8, 2000** as PCT International Application Number **PCT/US00/12547** and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

(Number)	(Country)	Month/Day/Year Filed	Priority Claimed	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

60/135,268

May 21, 1999

(Application Number)

(Filing Date) - Month/Day/Year

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application
or PCT Parent Number

Parent Filing Date
(MM/DD/YYYY)

Parent Patent Number
(if applicable)

2-1
And I hereby appoint: Barry R. Lipsitz, Registration No. 28,637 and Douglas M. McAllister, Registration No. 37,886, of the firm of Barry R. Lipsitz, Attorney at Law, 755 Main Street, Bldg. 8, Monroe, Connecticut 06468, Telephone (203) 459-0200, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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3-00
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